

Legislative Appropriations Request

For Fiscal Years 2018 and 2019

**Submitted to the
Office of the Governor, Budget Division
and the Legislative Budget Board**

by

Texas State Board of Dental Examiners

Submitted August 15, 2016

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504 Texas State Board of Dental Examiners

The Texas State Board of Dental Examiners (TSBDE) is governed by the Texas Occupations Code, Title 3, Subtitle D, Chapters 251 et seq., (Dental Practice Act). Currently the Board regulates the licensure, registration and certification of dentists (16,425), dental hygienist (12,884), dental assistants (34,648), mobile facilities (40) and dental laboratories (748). The total licensee population is approximately 64,781.

The TSBDE consists of fifteen members appointed by the Governor to serve staggered six-year terms, with eight dentists, two dental hygienists, and five public members. The current board members are listed below.

BOARD MEMBERS – TERM EXPIRES– HOMETOWN

Steven J. Austin, DDS – 2/01/2021 – Amarillo
Kirby Bunel, Jr, DDS – 02/01/2019 – Texarkana
Emily W. Christy – 02/01/2017 – San Antonio
Renée S. Cornett – 02/01/2021 – Austin
D. Bradley Dean, DDS – 02/01/2017 – Frisco
Diane M. Garza, PhD – 02/01/2017 – Brownsville
Bryan N. Henderson, II, DDS – 02/01/2021 – Dallas
Christie M. Leedy, DDS – 02/01/2017 – Abilene
Timothy J. O’Hare, JD – 02/01/2019 – Southlake
Lois M. Palermo, RDH – 02/01/2019 – League City
Jorge E. Quirch, DDS – 02/01/2021 – Missouri City
M. David Tillman, DDS – 02/01/2021 – Aledo
Richard D. Villa, JD – 02/01/2021 – Austin
Lewis M. White, JD – 02/01/2019 – Katy
Jason A. Zimmerman, DDS – 02/01/2017 – Keller

The Texas State Board of Dental Examiners is continuously focused on its mission to protect the public health and safety and promote high quality and safe dental care by providing enforcement, licensing, peer assistance, and related information services to licensees and their patients. The Board is supported in its mission by a staff of 58 full time employees. While the TSBDE’s goal is to hire and retain qualified staff, the agency has had constant turnover and continues to lose employees to other agencies for higher salaries.

The main functions of the Board are to license qualified, educated, trained dentists and dental hygienists after successful completion of a clinical examination and/or by credentials; certify/register dental assistants after successful completion of required education and subsequent competency examination; register qualified dental laboratories; register mobile/portable dental units; annually renew dental and dental hygiene licenses and dental assistant and dental laboratory registrations; investigate all complaints received; prosecute complaints through informal or formal disciplinary means as provided by applicable statutes; and monitor ongoing compliance of disciplined licensees with their respective Board Orders.

Since January 1, 2014, the agency has seen marked improvements in its ability to resolve cases. This is due to the changes introduced to the agency as a result of HB 3201, enacted in the 83rd Legislative Session. HB 3201 established an expert review panel of Texas dentists to evaluate the agency’s standard of care cases. This allows the agency to more effectively determine standard of care issues as part of the investigation of complaints and provides the agency with a pool of dentists who are willing to serve as testifying expert witnesses during SOAH proceedings. HB 3201 also gave the Board authority to issue remedial plans. Remedial plans assist the Board in

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resolving complaints through non-disciplinary action.

The TSBDE is committed to protecting and promoting the welfare of the people of Texas by ensuring that each person holding dental professional license in the State of Texas is competent to practice safely. The TSBDE strives to fulfill the Board's mission by providing exceptional service to the people of Texas in the most fiscally responsible manner possible. The TSBDE respectfully submits three exceptional items for your consideration. Two of the three address the need for additional FTEs.

Exceptional Item Requests (in priority order):

1) Reduction: The TSBDE respectfully requests for a portion of the 4% budget reduction to not be taken. In this budget reduction, the TSBDE would lose 3 FTEs. Each FTE is valuable in continuing to provide a high level of customer service to our licensees and the public. Losing these FTEs would affect the Enforcement Department greatly. Several of our performance measures would suffer and the TSBDE would not be able to sustain the current level of services to its licensees and the public.

This exceptional item request totals \$116,500.

- \$50,000 Investigator
- \$33,250 Enforcement Administrative Assistant (2)

2) Information Technology: Information Technology (IT) touches every aspect of TSBDE's day-to-day operations. Our current IT staff, two FTEs, support agency staff (58 FTEs), board members (15), and Dental Review Panel Members (150) on an as needed basis. The current IT staff is striving to seamlessly support the agency's needs, including but not limited to, user support, server maintenance, data retrieval and document security, but it has become a massive task for two people.

Additionally, our agency is moving closer to a paperless office with the integration of the PaperVision workflow system in our Enforcement Division, which will be piloted on September 1, 2016 and fully implemented on November 1, 2016. The Paper Vision system will be an advancement to our agency efficiency but will require our IT staff to provide additional user assistance and continuous maintenance of another system. The PaperVision system will also require a reporting module that would be able to extract essential data in a report format that would allow agency staff to produce information, track trends and increase public knowledge about dental issues in Texas. The addition of this reporting software would also require an additional server.

IT staff have also made additional recommendations to increase agency security and efficiency including: moving to a cloud server, such as Amazon Web Services Server Offsite backup and failover; adding a Firewall, such as Palo Alto Enterprise Firewall for IT Security; transitioning to MS Office 365; and remote software for 3 IT technicians.

The TSBDE respectfully requests consideration for an additional IT full time employee. Additionally, the TSBDE respectfully seeks an increase in salaries for the two current IT staff members since their education, training, institutional knowledge and dedication to the agency have been exemplary and retention of key personnel in key positions will minimize disruption in agency operations.

This exceptional item request includes:

- \$55,000 for the starting salary for an additional IT FTE and the corresponding payroll costs paid from appropriated funds

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- \$3,000 for a one-time employee start up cost for computer equipment for the additional IT FTE
- \$15,000 for a dashboard reporting module (\$12,500 initially and \$2,500 annual cost for license renewal and support);
- \$800 for an additional server license for reporting
- \$5,000 annually for transition to Amazon Web Services Service Offsite backup and failover
- \$32,000 initial cost for a Palo Alto Enterprise Firewall for IT Security (\$3,200 annually)
- \$6,600 transition to MS Office 365 (Annual \$88 per user approximately)
- \$2,400 Remote support software for 3 technicians
- \$15,200 for increases to salaries of current IT employees to compensate with similar government position salaries.

The total salary request for Information Technology is \$70,200.

3) Licensing Division: The TSBDE Licensing Division includes a Director of Licensing, a Program Specialist who assists the Director of Licensing, and seven full time License and Permit Specialists, that are charged with accepting, managing and issuing new licenses for dentists, dental hygienists, dental assistants and dental labs. The Licensing Division staff of nine is also responsible for managing, overseeing, and issuing licensure renewals for approximately 64,781 dental professionals annually including overseeing continuing education audits. It is also significant to note that the TSBDE sees an increase of applicants during graduation season (May-June) which with only nine employees ultimately delays processing times from the normal 2-4 weeks to 6-8 weeks for an initial license. The Licensing Division has the highest turnover rate due to the volume of work and lowest salaries at the agency. For instance, a Licensing Permit Specialist makes approximately \$32,000 as a starting salary at the TSBDE while a Licensing Permit Specialist makes approximately \$37,000 as starting salary at the Texas Medical Board. Therefore, the TSBDE respectfully requests 2 additional FTEs, classified as Licensing and Permit Specialists III, for the Licensing Division.

This exceptional item request totals \$75,110 (salaries): Licensing & Permit Specialist III (2)- \$37,000 and related payroll costs paid from appropriated funds of \$1,110.

4) Merit Salary Increases: The TSBDE respectfully requests consideration of annual salary increases for all TSBDE employees. Merit increases motivate employees to work harder and professionally achieve more while obtaining agency wide goals. While we appreciate the Governor's directive to make across the board cuts by 4% and propose an additional 10% reduction plan, however, I value my employees and merit increases have never been requested previously for this agency. Respectfully, I appreciate any consideration of an annual 3% salary increase for all TSBDE eligible employees in an effort to reduce employee turnover and minimize agency disruption.

This exceptional item request totals approximately \$78,000 annually for all staff to receive a 3% increase.

FOUR PERCENT (4%) AND TEN PERCENT (10%) REDUCTION

The requested four percent budget reduction amounts would affect the TSBDE's Enforcement and Licensing, as well as indirect agency administration. The TSBDE's responsibility is to respond to demands from our licensees and the public and is not able to control the level of demand for services. With the four percent reduction as well as a possible ten percent reduction, the TSBDE would not be able to sustain the current level of services to its licensees and the public. The TSBDE had previous increases in budget and full time employees (FTEs) allowing the TSBDE to become more efficient with its services to licensees and the public. In order to meet the budget reductions, the TSBDE would experience a significant impact to FTEs. Three FTEs with the 4% reduction, an additional 5 FTEs in the first 5% and an additional 2 FTEs in the second 5% would be lost with these reductions. These reductions would have a significant impact on the TSBDE's ability to timely investigate and prosecute standard of care cases as well as the ability to process new licensure applications and license renewals in a timely manner.

HEALTH PROFESSIONS COUNCIL

The TSBDE is a member of the Health Professions Council (HPC) and transfers funds through appropriations made to the TSBDE, through interagency contract with

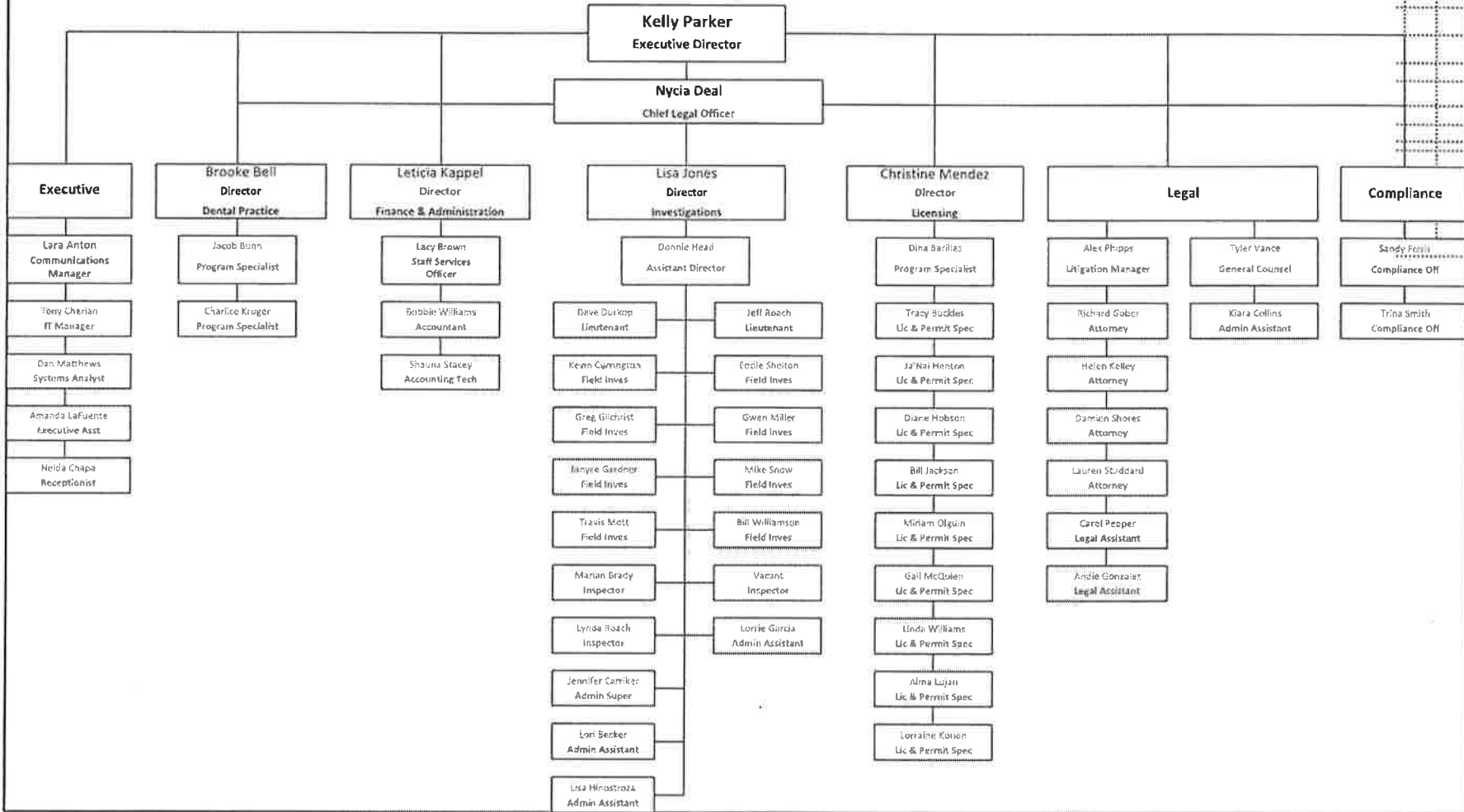
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HPC for a prorated share of HPC's operating budget. The TSBDE supports the HPC request assuming additional appropriations are made to the Board for any increases.



Texas State Board of Dental Examiners Organizational Chart

Fiscal Year 2017



Budget Overview - Biennial Amounts
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 Appropriation Years: 2018-19

	GENERAL REVENUE FUNDS		GR DEDICATED		FEDERAL FUNDS		OTHER FUNDS		ALL FUNDS		EXCEPTIONAL ITEM FUNDS
	2016-17	2018-19	2016-17	2018-19	2016-17	2018-19	2016-17	2018-19	2016-17	2018-19	2018-19
Goal: 1. To Ensure Quality Dental Care for the People of Texas											
1.1.1. Complaint Resolution	5,839,038	5,518,656					95		5,839,133	5,518,656	581,528
1.1.2. Peer Assistance Program	248,500	248,500							248,500	248,500	1,296
1.2.1. Licensure/Registration/Cert	1,339,035	1,331,162					556,905	517,000	1,895,940	1,848,162	174,690
1.2.2. Texas.Gov	500,000	500,000							500,000	500,000	
Total, Goal	7,926,573	7,598,318					557,000	517,000	8,483,573	8,115,318	757,514
Goal: 2. Indirect Administration											
2.1.1. Indirect Admin - Licensure	184,094	184,066							184,094	184,066	5,140
2.1.2. Ind Admin - Complaint Resolution	149,795	157,600							149,795	157,600	3,626
Total, Goal	333,889	341,666							333,889	341,666	8,766
Total, Agency	8,260,462	7,939,984					557,000	517,000	8,817,462	8,456,984	766,280
Total FTEs									58.0	56.0	6.0

2.A. Summary of Base Request by Strategy

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Goal / Objective / STRATEGY	Exp 2015	Est 2016	Bud 2017	Req 2018	Req 2019
1 To Ensure Quality Dental Care for the People of Texas					
1 <i>Protect the Public by Ensuring That Complaints Are Investigated</i>					
1 COMPLAINT RESOLUTION	2,772,703	2,912,104	2,927,029	2,759,328	2,759,328
2 PEER ASSISTANCE PROGRAM	124,250	124,250	124,250	124,250	124,250
2 <i>Ensure Licensing Standards Are Met</i>					
1 LICENSURE/REGISTRATION/CERT	835,902	979,626	916,314	924,081	924,081
2 TEXAS.GOV	300,054	250,000	250,000	250,000	250,000
TOTAL, GOAL 1	\$4,032,909	\$4,265,980	\$4,217,593	\$4,057,659	\$4,057,659
2 Indirect Administration					
1 <i>Indirect Administration</i>					
1 INDIRECT ADMIN - LICENSURE	78,154	92,061	92,033	92,033	92,033
2 IND ADMIN - COMPLAINT RESOLUTION	78,728	70,995	78,800	78,800	78,800
TOTAL, GOAL 2	\$156,882	\$163,056	\$170,833	\$170,833	\$170,833

2.A. Summary of Base Request by Strategy

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Goal / Objective / STRATEGY	Exp 2015	Est 2016	Bud 2017	Req 2018	Req 2019
TOTAL, AGENCY STRATEGY REQUEST	\$4,189,791	\$4,429,036	\$4,388,426	\$4,228,492	\$4,228,492
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST*				\$0	\$0
GRAND TOTAL, AGENCY REQUEST	\$4,189,791	\$4,429,036	\$4,388,426	\$4,228,492	\$4,228,492
<u>METHOD OF FINANCING:</u>					
General Revenue Funds:					
1 General Revenue Fund	3,893,085	4,130,536	4,129,926	3,969,992	3,969,992
SUBTOTAL	\$3,893,085	\$4,130,536	\$4,129,926	\$3,969,992	\$3,969,992
Other Funds:					
666 Appropriated Receipts	296,706	298,500	258,500	258,500	258,500
SUBTOTAL	\$296,706	\$298,500	\$258,500	\$258,500	\$258,500
TOTAL, METHOD OF FINANCING	\$4,189,791	\$4,429,036	\$4,388,426	\$4,228,492	\$4,228,492

*Rider appropriations for the historical years are included in the strategy amounts.

2.B. Summary of Base Request by Method of Finance
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Agency code: **504** Agency name: **Texas State Board of Dental Examiners**

METHOD OF FINANCING	Exp 2015	Est 2016	Bud 2017	Req 2018	Req 2019
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GENERAL REVENUE

1 General Revenue Fund

REGULAR APPROPRIATIONS

Regular Appropriations from MOF Table (2014-15 GAA)

\$3,067,915	\$0	\$0	\$0	\$0
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Regular Appropriations from MOF Table (2016-17 GAA)

\$0	\$4,077,561	\$4,076,951	\$3,969,992	\$3,969,992
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RIDER APPROPRIATION

HB 3201 Contingency Rider Art IX 18.28

\$755,865	\$0	\$0	\$0	\$0
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Art IX, Sec 9.05, Texas.gov Project: Occupational Licenses (2014-15 GAA)

\$50,054	\$0	\$0	\$0	\$0
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TRANSFERS

Art IX, Sec 17.06 Salary Increase for General State Employees (2014-15 GAA)

\$64,146	\$0	\$0	\$0	\$0
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Art IX, Sec 18.02, Salary Increase for General State Employees (2016-17)

2.B. Summary of Base Request by Method of Finance
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Agency code: **504** Agency name: **Texas State Board of Dental Examiners**

METHOD OF FINANCING	Exp 2015	Est 2016	Bud 2017	Req 2018	Req 2019
<u>GENERAL REVENUE</u>					
	\$0	\$52,975	\$52,975	\$0	\$0
<i>LAPSED APPROPRIATIONS</i>					
Regular Appropriations from MOF Table (2014-15 GAA)	\$(44,895)	\$0	\$0	\$0	\$0
TOTAL, General Revenue Fund	\$3,893,085	\$4,130,536	\$4,129,926	\$3,969,992	\$3,969,992
TOTAL, ALL GENERAL REVENUE	\$3,893,085	\$4,130,536	\$4,129,926	\$3,969,992	\$3,969,992

OTHER FUNDS

666 Appropriated Receipts

REGULAR APPROPRIATIONS

Regular Appropriations from MOF Table (2016-17 GAA)	\$0	\$258,500	\$258,500	\$258,500	\$258,500
Regular Appropriations from MOF Table (2014-15 GAA)	\$258,500	\$0	\$0	\$0	\$0

RIDER APPROPRIATION

2.B. Summary of Base Request by Method of Finance
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Agency code: **504** Agency name: **Texas State Board of Dental Examiners**

METHOD OF FINANCING		Exp 2015	Est 2016	Bud 2017	Req 2018	Req 2019
<u>OTHER FUNDS</u>						
	Art IX, Sec 8.08, Seminars and Conferences (2014-15 GAA)	\$38,206	\$0	\$0	\$0	\$0
	Art IX, Sec 8.07, Seminars and Conference (2016-17 GAA)	\$0	\$40,000	\$0	\$0	\$0
TOTAL,	Appropriated Receipts	\$296,706	\$298,500	\$258,500	\$258,500	\$258,500
TOTAL, ALL	OTHER FUNDS	\$296,706	\$298,500	\$258,500	\$258,500	\$258,500
GRAND TOTAL		\$4,189,791	\$4,429,036	\$4,388,426	\$4,228,492	\$4,228,492

2.B. Summary of Base Request by Method of Finance
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Agency code: **504** Agency name: **Texas State Board of Dental Examiners**

METHOD OF FINANCING	Exp 2015	Est 2016	Bud 2017	Req 2018	Req 2019
FULL-TIME-EQUIVALENT POSITIONS					
REGULAR APPROPRIATIONS					
Regular Appropriations from MOF Table (2014-15 GAA)	51.0	0.0	0.0	0.0	0.0
Regular Appropriations from MOF Table (2016-17 GAA)	0.0	58.0	58.0	56.0	56.0
RIDER APPROPRIATION					
HB 3201 Art IX 18.28	7.0	0.0	0.0	0.0	0.0
UNAUTHORIZED NUMBER OVER (BELOW) CAP					
Unauthorized over/under cap	(4.3)	0.0	0.0	0.0	0.0
TOTAL, ADJUSTED FTES	53.7	58.0	58.0	56.0	56.0

**NUMBER OF 100% FEDERALLY
 FUNDED FTEs**

2.C. Summary of Base Request by Object of Expense
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OBJECT OF EXPENSE	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
1001 SALARIES AND WAGES	\$2,472,333	\$2,699,283	\$2,699,283	\$2,582,783	\$2,582,783
1002 OTHER PERSONNEL COSTS	\$210,122	\$91,669	\$84,217	\$94,117	\$96,117
2001 PROFESSIONAL FEES AND SERVICES	\$247,488	\$295,932	\$301,156	\$285,080	\$285,080
2003 CONSUMABLE SUPPLIES	\$21,188	\$60,316	\$56,500	\$56,500	\$56,500
2004 UTILITIES	\$19,289	\$57,161	\$57,950	\$51,543	\$51,543
2005 TRAVEL	\$65,028	\$86,489	\$86,500	\$86,800	\$86,800
2006 RENT - BUILDING	\$2,207	\$2,407	\$2,485	\$500	\$500
2007 RENT - MACHINE AND OTHER	\$13,944	\$14,953	\$15,130	\$15,200	\$15,200
2009 OTHER OPERATING EXPENSE	\$1,138,192	\$1,120,826	\$1,085,205	\$1,055,969	\$1,053,969
OOE Total (Excluding Riders)	\$4,189,791	\$4,429,036	\$4,388,426	\$4,228,492	\$4,228,492
OOE Total (Riders)					
Grand Total	\$4,189,791	\$4,429,036	\$4,388,426	\$4,228,492	\$4,228,492

2.D. Summary of Base Request Objective Outcomes
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Goal/ Objective / Outcome	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
1 To Ensure Quality Dental Care for the People of Texas					
1 Protect the Public by Ensuring That Complaints Are Investigated					
KEY 1 Percent of Complaints Resulting in Disciplinary Action	14.00%	13.00%	12.00%	12.00%	12.00%
KEY 2 Percent of Complaints Resulting in Remedial Action	0.00%	7.00%	8.00%	8.00%	8.00%
3 Recidivism Rate for Those Receiving Disciplinary Action	2.38%	3.00%	3.00%	3.00%	3.00%
4 Percent of Documented Complaints Resolved within 6 Months	37.60%	37.00%	40.00%	40.00%	40.00%
5 Recidivism Rate for Peer Assistance Programs	11.19%	12.00%	12.00%	12.00%	12.00%
6 One-Year Completion Rate for Peer Assistance Programs	100.00%	90.00%	90.00%	90.00%	90.00%
2 Ensure Licensing Standards Are Met					
KEY 1 Percent of Licensees with No Recent Violations: Dentist	97.60%	97.00%	97.00%	97.00%	97.00%
2 Percent of Licensees with No Recent Violations: DH	99.97	99.00	99.00	99.00	99.00
KEY 3 Percent of Licensees Who Renew Online	69.19%	80.00%	85.00%	85.00%	85.00%
KEY 4 Percent of New Individual Licenses Issued Online	6.31%	25.00%	25.00%	25.00%	25.00%

2.E. Summary of Exceptional Items Request
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DATE: 8/15/2016
 TIME : 12:10:14PM

Agency code: 504

Agency name: Texas State Board of Dental Examiners

Priority	Item	2018			2019			Biennium	
		GR and GR/GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds
1	FTE Reinstatement	\$116,500	\$116,500	3.0	\$116,500	\$116,500	3.0	\$233,000	\$233,000
2	IT	\$136,053	\$136,053	1.0	\$91,753	\$91,753	1.0	\$227,806	\$227,806
3	Licensing	\$75,110	\$75,110	2.0	\$75,110	\$75,110	2.0	\$150,220	\$150,220
4	Merit	\$77,627	\$77,627		\$77,627	\$77,627		\$155,254	\$155,254
Total, Exceptional Items Request		\$405,290	\$405,290	6.0	\$360,990	\$360,990	6.0	\$766,280	\$766,280

Method of Financing

General Revenue	\$405,290	\$405,290		\$360,990	\$360,990		\$766,280	\$766,280
General Revenue - Dedicated								
Federal Funds								
Other Funds								
	\$405,290	\$405,290		\$360,990	\$360,990		\$766,280	\$766,280

Full Time Equivalent Positions

6.0

6.0

Number of 100% Federally Funded FTEs

2.F. Summary of Total Request by Strategy
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 8/15/2016
 TIME : 12:10:15PM

Agency code: 504	Agency name: Texas State Board of Dental Examiners					
Goal/Objective/STRATEGY	Base 2018	Base 2019	Exceptional 2018	Exceptional 2019	Total Request 2018	Total Request 2019
1 To Ensure Quality Dental Care for the People of Texas						
<i>1 Protect the Public by Ensuring That Complaints Are Investigated</i>						
1 COMPLAINT RESOLUTION	\$2,759,328	\$2,759,328	\$312,914	\$268,614	\$3,072,242	\$3,027,942
2 PEER ASSISTANCE PROGRAM	124,250	124,250	648	648	124,898	124,898
<i>2 Ensure Licensing Standards Are Met</i>						
1 LICENSURE/REGISTRATION/CERT	924,081	924,081	87,345	87,345	1,011,426	1,011,426
2 TEXAS.GOV	250,000	250,000	0	0	250,000	250,000
TOTAL, GOAL 1	\$4,057,659	\$4,057,659	\$400,907	\$356,607	\$4,458,566	\$4,414,266
2 Indirect Administration						
<i>1 Indirect Administration</i>						
1 INDIRECT ADMIN - LICENSURE	92,033	92,033	2,570	2,570	94,603	94,603
2 IND ADMIN - COMPLAINT RESOLUTION	78,800	78,800	1,813	1,813	80,613	80,613
TOTAL, GOAL 2	\$170,833	\$170,833	\$4,383	\$4,383	\$175,216	\$175,216
TOTAL, AGENCY STRATEGY REQUEST	\$4,228,492	\$4,228,492	\$405,290	\$360,990	\$4,633,782	\$4,589,482
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST						
GRAND TOTAL, AGENCY REQUEST	\$4,228,492	\$4,228,492	\$405,290	\$360,990	\$4,633,782	\$4,589,482

2.F. Summary of Total Request by Strategy
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 8/15/2016
 TIME : 12:10:15PM

Agency code: 504		Agency name: Texas State Board of Dental Examiners				
Goal/Objective/STRATEGY	Base 2018	Base 2019	Exceptional 2018	Exceptional 2019	Total Request 2018	Total Request 2019
General Revenue Funds:						
1 General Revenue Fund	\$3,969,992	\$3,969,992	\$405,290	\$360,990	\$4,375,282	\$4,330,982
	\$3,969,992	\$3,969,992	\$405,290	\$360,990	\$4,375,282	\$4,330,982
Other Funds:						
666 Appropriated Receipts	258,500	258,500	0	0	258,500	258,500
	\$258,500	\$258,500	\$0	\$0	\$258,500	\$258,500
TOTAL, METHOD OF FINANCING	\$4,228,492	\$4,228,492	\$405,290	\$360,990	\$4,633,782	\$4,589,482
FULL TIME EQUIVALENT POSITIONS	56.0	56.0	6.0	6.0	62.0	62.0

2.G. Summary of Total Request Objective Outcomes
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 8/15/2016
 Time: 12:10:15PM

Agency code: 504 Agency name: Texas State Board of Dental Examiners

Goal/ Objective / Outcome

	BL 2018	BL 2019	Excp 2018	Excp 2019	Total Request 2018	Total Request 2019
I						
1						
To Ensure Quality Dental Care for the People of Texas						
1						
Protect the Public by Ensuring That Complaints Are Investigated						
KEY						
1 Percent of Complaints Resulting in Disciplinary Action						
	12.00%	12.00%			12.00%	12.00%
KEY						
2 Percent of Complaints Resulting in Remedial Action						
	8.00%	8.00%			8.00%	8.00%
3 Recidivism Rate for Those Receiving Disciplinary Action						
	3.00%	3.00%			3.00%	3.00%
4 Percent of Documented Complaints Resolved within 6 Months						
	40.00%	40.00%			40.00%	40.00%
5 Recidivism Rate for Peer Assistance Programs						
	12.00%	12.00%			12.00%	12.00%
6 One-Year Completion Rate for Peer Assistance Programs						
	90.00%	90.00%			90.00%	90.00%
2						
Ensure Licensing Standards Are Met						
KEY						
1 Percent of Licensees with No Recent Violations: Dentist						
	97.00%	97.00%			97.00%	97.00%
2 Percent of Licensees with No Recent Violations: DH						
	99.00	99.00			99.00	99.00

2.G. Summary of Total Request Objective Outcomes
 85th Regular Session, Agency Submission, Version 1
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Date : 8/15/2016
 Time: 12:10:15PM

Agency code: **504** Agency name: **Texas State Board of Dental Examiners**

Goal/ Objective / Outcome

	BL 2018	BL 2019	Excp 2018	Excp 2019	Total Request 2018	Total Request 2019
KEY 3 Percent of Licensees Who Renew Online	85.00%	85.00%			85.00%	85.00%
KEY 4 Percent of New Individual Licenses Issued Online	25.00%	25.00%			25.00%	25.00%

3.A. Strategy Request
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/15/2016 12:10:16PM

504 Texas State Board of Dental Examiners

GOAL: 1 To Ensure Quality Dental Care for the People of Texas
 OBJECTIVE: 1 Protect the Public by Ensuring That Complaints Are Investigated Service Categories:
 STRATEGY: 1 Provide a System to Investigate and Resolve Complaints Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
Output Measures:						
KEY 1	Number of Complaints Resolved	1,200.00	1,100.00	1,100.00	1,000.00	1,000.00
Efficiency Measures:						
KEY 1	Average Time for Complaint Resolution	400.00	300.00	280.00	330.00	330.00
Explanatory/Input Measures:						
KEY 1	Number of Jurisdictional Complaints Received	1,050.00	1,075.00	1,075.00	1,075.00	1,075.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$1,882,938	\$2,123,726	\$2,123,726	\$2,007,226	\$2,007,226
1002	OTHER PERSONNEL COSTS	\$180,319	\$58,729	\$62,100	\$63,500	\$65,500
2001	PROFESSIONAL FEES AND SERVICES	\$149,629	\$172,661	\$176,650	\$159,515	\$159,515
2003	CONSUMABLE SUPPLIES	\$14,657	\$49,819	\$50,000	\$50,000	\$50,000
2004	UTILITIES	\$18,356	\$56,497	\$57,300	\$50,893	\$50,893
2005	TRAVEL	\$65,028	\$84,720	\$85,000	\$85,000	\$85,000
2006	RENT - BUILDING	\$1,768	\$1,923	\$2,000	\$0	\$0
2007	RENT - MACHINE AND OTHER	\$8,122	\$8,329	\$8,500	\$8,500	\$8,500
2009	OTHER OPERATING EXPENSE	\$451,886	\$355,700	\$361,753	\$334,694	\$332,694
TOTAL, OBJECT OF EXPENSE		\$2,772,703	\$2,912,104	\$2,927,029	\$2,759,328	\$2,759,328

3.A. Strategy Request
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504 Texas State Board of Dental Examiners

GOAL: 1 To Ensure Quality Dental Care for the People of Texas
 OBJECTIVE: 1 Protect the Public by Ensuring That Complaints Are Investigated
 STRATEGY: 1 Provide a System to Investigate and Resolve Complaints

Service Categories:
 Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
Method of Financing:						
1	General Revenue Fund	\$2,771,992	\$2,912,009	\$2,927,029	\$2,759,328	\$2,759,328
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$2,771,992	\$2,912,009	\$2,927,029	\$2,759,328	\$2,759,328
Method of Financing:						
666	Appropriated Receipts	\$711	\$95	\$0	\$0	\$0
SUBTOTAL, MOF (OTHER FUNDS)		\$711	\$95	\$0	\$0	\$0
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$2,759,328	\$2,759,328
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$2,772,703	\$2,912,104	\$2,927,029	\$2,759,328	\$2,759,328
FULL TIME EQUIVALENT POSITIONS:		37.5	41.0	41.0	39.0	39.0

STRATEGY DESCRIPTION AND JUSTIFICATION:

The TSBDE investigates all jurisdictional complaints (Tex. Occ.Code Ann. 255.006). Investigations that identify and confirm treatment below the minimum standard of care result in prosecution and sanctions. The TSBDE processes include a compliance monitor to ensure those disciplined complete board-ordered requirements timely and in accordance with the TSBDE terms. Implementation of this strategy helps ensure that the public receives competent dental care.

3.A. Strategy Request
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504 Texas State Board of Dental Examiners

GOAL: 1 To Ensure Quality Dental Care for the People of Texas
 OBJECTIVE: 1 Protect the Public by Ensuring That Complaints Are Investigated Service Categories:
 STRATEGY: 1 Provide a System to Investigate and Resolve Complaints Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

External factors impacting this strategy are the number of jurisdictional complaints filed and investigated, as well as licensee compliance (or lack thereof) by those receiving board-directed sanctions. These factors can directly impact the hours of staff commitment to the administration of this strategy.

FTEs have been increased in this strategy since the last LAR and HB301, passed in the 83rd session, made substantive changes to agency's enforcement process, including the creation of a 60 day period for a preliminary review, the use of an expert panel to review the appropriate standard of care, the use of a remedial pan to resolve less serious complaints in a non-disciplinary manner, and the additional of a Dental Director. However, the mandated 4% reduction results in a reduction of the FTEs that were gained in the last session and will setback the advances made by the agency with the additional workforce.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
<u>Base Spending (Est 2016 + Bud 2017)</u>	<u>Baseline Request (BL 2018 + BL 2019)</u>	<u>CHANGE</u>	<u>\$ Amount</u>	<u>Explanation(s) of Amount (must specify MOFs and FTEs)</u>
\$5,839,133	\$5,518,656	\$(320,477)	\$(320,477)	The 4% reduction is being funded by the elimination of 2 FTEs of \$233,000 and other operational costs of \$87,478 - all GR
			<u>\$(320,477)</u>	Total of Explanation of Biennial Change

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504 Texas State Board of Dental Examiners

GOAL: 1 To Ensure Quality Dental Care for the People of Texas
 OBJECTIVE: 1 Protect the Public by Ensuring That Complaints Are Investigated
 STRATEGY: 2 Provide a Peer Assistance Program for Licensed Individuals

Service Categories:
 Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
Output Measures:						
KEY 1	# of Licensed Individuals Participating in a Peer Assistance Program	85.00	85.00	85.00	85.00	85.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$26,383	\$21,613	\$21,613	\$21,613	\$21,613
1002	OTHER PERSONNEL COSTS	\$122	\$105	\$105	\$105	\$105
2001	PROFESSIONAL FEES AND SERVICES	\$97,500	\$102,311	\$102,311	\$102,311	\$102,311
2009	OTHER OPERATING EXPENSE	\$245	\$221	\$221	\$221	\$221
TOTAL, OBJECT OF EXPENSE		\$124,250	\$124,250	\$124,250	\$124,250	\$124,250
Method of Financing:						
1	General Revenue Fund	\$124,250	\$124,250	\$124,250	\$124,250	\$124,250
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$124,250	\$124,250	\$124,250	\$124,250	\$124,250
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$124,250	\$124,250
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$124,250	\$124,250	\$124,250	\$124,250	\$124,250
FULL TIME EQUIVALENT POSITIONS:		0.5	0.5	0.5	0.5	0.5

504 Texas State Board of Dental Examiners

GOAL: 1 To Ensure Quality Dental Care for the People of Texas
 OBJECTIVE: 1 Protect the Public by Ensuring That Complaints Are Investigated Service Categories:
 STRATEGY: 2 Provide a Peer Assistance Program for Licensed Individuals Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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STRATEGY DESCRIPTION AND JUSTIFICATION:

The TSBDE's peer assistance program is operated by a Board-approved provider, Texas Pharmacy Association - Professional Recovery Network, under authority provided by Health & Safety Code, Sec. 467. The peer assistance program provider serves the TSBDE and the dental profession in several ways: (1) monitors impaired and chemically impaired dental professionals' compliance with their Board orders, (2) assists impaired dental professionals in their efforts to become unimpaired and non-chemically dependent, and (3) notifies the TSBDE of practitioners who may be impaired and may be a threat to public welfare.

The TSBDE collects \$9 from each licensed dentist and \$2 from each licensed dental hygienist for the peer assistance program. This appropriation is capped. Any fees over the cap are deposited into the General Revenue Fund.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Fees are collected from licensees by the TSBDE to contract services for the purpose of providing a peer assistance program for impaired dentists and dental hygienists. If funding were not provided, the TSBDE would not be in compliance with Chapter 467 of the Health and Safety Code and would not be in a position to offer this needed service to impaired licensees, which eventually helps to increase public protection.

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504 Texas State Board of Dental Examiners

GOAL: 1 To Ensure Quality Dental Care for the People of Texas
 OBJECTIVE: 1 Protect the Public by Ensuring That Complaints Are Investigated Service Categories:
 STRATEGY: 2 Provide a Peer Assistance Program for Licensed Individuals Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL CHANGE</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
<u>Base Spending (Est 2016 + Bud 2017)</u>	<u>Baseline Request (BL 2018 + BL 2019)</u>		<u>\$ Amount</u>	<u>Explanation(s) of Amount (must specify MOFs and FTEs)</u>
\$248,500	\$248,500	\$0	\$0	The Peer Assistance Program is a Behavioral Health program and is exempted from the 4% reduction.
			\$0	Total of Explanation of Biennial Change

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504 Texas State Board of Dental Examiners

GOAL: 1 To Ensure Quality Dental Care for the People of Texas
 OBJECTIVE: 2 Ensure Licensing Standards Are Met Service Categories:
 STRATEGY: 1 Conduct an Efficient Licensure/Resistration/Certification Process Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
Output Measures:						
KEY 1	Number of New Licenses Issued to Individuals: Dentists	950.00	975.00	975.00	975.00	975.00
KEY 2	Number of Licenses Renewed (Individuals): Dentists	14,500.00	14,525.00	14,525.00	14,525.00	14,525.00
KEY 3	Number of New Licenses Issued to Individuals: Dental Hygienists	750.00	775.00	775.00	775.00	775.00
KEY 4	Number of Licenses Renewed (Individuals): Dental Hygienists	11,000.00	11,025.00	11,025.00	11,025.00	11,025.00
KEY 5	Number of New Registrations Issued: Dental Assistants	7,075.00	7,175.00	7,175.00	7,175.00	7,175.00
KEY 6	Number of Registrations Renewed: Dental Assistants	35,750.00	36,300.00	36,850.00	36,850.00	36,850.00
Efficiency Measures:						
1	Percentage of New Individual Licenses Issued within 10 Days: D & DH	55.00	60.00	65.00	65.00	65.00
2	Percentage of Individual License Renewals Issued within 7 Days: D & DH	95.00%	95.00%	95.00%	95.00%	95.00%
Explanatory/Input Measures:						
1	Total Number of Individuals Licensed: Dentists	16,000.00	16,225.00	16,475.00	16,475.00	16,475.00
2	Total Number of Individuals Licensed: Dental Hygienist	12,500.00	12,675.00	12,875.00	16,475.00	16,475.00
3	Total Number of Individuals Licensed: Dental Assistants	0.00	32,350.00	32,850.00	32,850.00	32,850.00
KEY 4	Total Number of Business Facilities Registered	850.00	850.00	850.00	850.00	850.00

3.A. Strategy Request
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504 Texas State Board of Dental Examiners

GOAL: 1 To Ensure Quality Dental Care for the People of Texas

OBJECTIVE: 2 Ensure Licensing Standards Are Met

Service Categories:

STRATEGY: 1 Conduct an Efficient Licensure/Resistration/Certification Process

Service: 16

Income: A.2

Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
Objects of Expense:						
1001	SALARIES AND WAGES	\$418,197	\$407,829	\$407,829	\$407,829	\$407,829
1002	OTHER PERSONNEL COSTS	\$19,523	\$26,323	\$12,500	\$22,500	\$22,500
2001	PROFESSIONAL FEES AND SERVICES	\$252	\$12,237	\$12,000	\$13,054	\$13,054
2003	CONSUMABLE SUPPLIES	\$6,531	\$10,497	\$6,500	\$6,500	\$6,500
2004	UTILITIES	\$933	\$664	\$650	\$650	\$650
2005	TRAVEL	\$0	\$1,769	\$1,500	\$1,800	\$1,800
2006	RENT - BUILDING	\$439	\$484	\$485	\$500	\$500
2007	RENT - MACHINE AND OTHER	\$5,822	\$6,624	\$6,630	\$6,700	\$6,700
2009	OTHER OPERATING EXPENSE	\$384,205	\$513,199	\$468,220	\$464,548	\$464,548
TOTAL, OBJECT OF EXPENSE		\$835,902	\$979,626	\$916,314	\$924,081	\$924,081
Method of Financing:						
1	General Revenue Fund	\$539,907	\$681,221	\$657,814	\$665,581	\$665,581
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$539,907	\$681,221	\$657,814	\$665,581	\$665,581
Method of Financing:						
666	Appropriated Receipts	\$295,995	\$298,405	\$258,500	\$258,500	\$258,500

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504 Texas State Board of Dental Examiners

GOAL: 1 To Ensure Quality Dental Care for the People of Texas
 OBJECTIVE: 2 Ensure Licensing Standards Are Met Service Categories:
 STRATEGY: 1 Conduct an Efficient Licensure/Resistration/Certification Process Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
SUBTOTAL, MOF (OTHER FUNDS)		\$295,995	\$298,405	\$258,500	\$258,500	\$258,500
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$924,081	\$924,081
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$835,902	\$979,626	\$916,314	\$924,081	\$924,081
FULL TIME EQUIVALENT POSITIONS:		11.2	12.0	12.0	12.0	12.0

STRATEGY DESCRIPTION AND JUSTIFICATION:

The TSBDE administers all aspects of licensure and certification for qualified dental professionals (Tx Occ Code 256 et.seq.) In addition to licensure to practice dentistry and dental hygiene, the TSBDE registers all dental assistants who take radiographs, all dental auxiliaries that wish to monitor the administration of nitrous oxide conscious sedation or apply dental sealants. The agency also registers dental laboratories and mobile dental facilities. Renewals of all these certifications occur annually. Additionally, monitoring of continuing education compliance, retirement and reinstatement of eligible licenses, and maintenance of all licensing records occurs through this strategy.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Maintaining quality personnel and limiting turnover is critical to the success of the agency.

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504 Texas State Board of Dental Examiners

GOAL: 1 To Ensure Quality Dental Care for the People of Texas
 OBJECTIVE: 2 Ensure Licensing Standards Are Met Service Categories:
 STRATEGY: 1 Conduct an Efficient Licensure/Resistration/Certification Process Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL CHANGE</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
<u>Base Spending (Est 2016 + Bud 2017)</u>	<u>Baseline Request (BL 2018 + BL 2019)</u>		<u>\$ Amount</u>	<u>Explanation(s) of Amount (must specify MOFs and FTEs)</u>
\$1,895,940	\$1,848,162	\$ (47,778)	\$ (7,778)	Reclassified expenditures to indirect strategy.
			\$ (40,000)	Reduction in reappropriated receipts - online continuing education. No FTEs, all GR
			<u>\$ (47,778)</u>	Total of Explanation of Biennial Change

504 Texas State Board of Dental Examiners

GOAL: 1 To Ensure Quality Dental Care for the People of Texas
 OBJECTIVE: 2 Ensure Licensing Standards Are Met
 STRATEGY: 2 Texas.gov. Estimated and Nontransferable

Service Categories:

Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
Objects of Expense:						
2009	OTHER OPERATING EXPENSE	\$300,054	\$250,000	\$250,000	\$250,000	\$250,000
TOTAL, OBJECT OF EXPENSE		\$300,054	\$250,000	\$250,000	\$250,000	\$250,000
Method of Financing:						
1	General Revenue Fund	\$300,054	\$250,000	\$250,000	\$250,000	\$250,000
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$300,054	\$250,000	\$250,000	\$250,000	\$250,000
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$250,000	\$250,000
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$300,054	\$250,000	\$250,000	\$250,000	\$250,000

FULL TIME EQUIVALENT POSITIONS:

STRATEGY DESCRIPTION AND JUSTIFICATION:

The TSBDE collects \$10 from Dentists, \$6 from Dental Hygienists, \$3 from Dental Laboratories and \$2 from Dental Assistants. Texas Online is just a pass-through appropriation. All funds collected for Texas Online are transferred to the vendor by the 10th of the following month.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

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GOAL: 1 To Ensure Quality Dental Care for the People of Texas
 OBJECTIVE: 2 Ensure Licensing Standards Are Met Service Categories:
 STRATEGY: 2 Texas.gov. Estimated and Nontransferable Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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All funds collected by the agency under the Texas Online program are paid to the vendor on the tenth day of the following month and cannot be reduced or used for any other purpose.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL CHANGE</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
<u>Base Spending (Est 2016 + Bud 2017)</u>	<u>Baseline Request (BL 2018 + BL 2019)</u>		<u>\$ Amount</u>	<u>Explanation(s) of Amount (must specify MOFs and FTEs)</u>
\$500,000	\$500,000	\$0	\$0	This is a pass-through strategy no impacted by 4% reduction
			\$0	Total of Explanation of Biennial Change

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504 Texas State Board of Dental Examiners

GOAL: 2 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 1 Indirect Administration - Licensure and Registration

Service Categories:
 Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
Objects of Expense:						
1001	SALARIES AND WAGES	\$72,496	\$85,672	\$85,672	\$85,672	\$85,672
1002	OTHER PERSONNEL COSTS	\$4,944	\$5,691	\$5,691	\$5,691	\$5,691
2001	PROFESSIONAL FEES AND SERVICES	\$42	\$28	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$672	\$670	\$670	\$670	\$670
TOTAL, OBJECT OF EXPENSE		\$78,154	\$92,061	\$92,033	\$92,033	\$92,033
Method of Financing:						
1	General Revenue Fund	\$78,154	\$92,061	\$92,033	\$92,033	\$92,033
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$78,154	\$92,061	\$92,033	\$92,033	\$92,033
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$92,033	\$92,033
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$78,154	\$92,061	\$92,033	\$92,033	\$92,033
FULL TIME EQUIVALENT POSITIONS:		2.5	2.5	2.5	2.5	2.5
STRATEGY DESCRIPTION AND JUSTIFICATION:						

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GOAL: 2 Indirect Administration
 OBJECTIVE: 1 Indirect Administration Service Categories:
 STRATEGY: 1 Indirect Administration - Licensure and Registration Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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The strategy includes a proportionate allocation of the agency's indirect costs. The Finance and Administration Division performs administrative and support functions for the agency including the internal operating budget, reporting of performance measures, purchasing, accounts payable, accounts receivable, travel reimbursement, payroll and personnel management, property management, and mail distribution.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The FTEs in this area support the agency via human resources, payroll, and other accounting and purchasing functions.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL CHANGE</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
<u>Base Spending (Est 2016 + Bud 2017)</u>	<u>Baseline Request (BL 2018 + BL 2019)</u>		<u>\$ Amount</u>	<u>Explanation(s) of Amount (must specify MOFs and FTEs)</u>
\$184,094	\$184,066	\$(28)	\$(28)	Reclassified expenditures to other indirect strategy.
			\$(28)	Total of Explanation of Biennial Change

504 Texas State Board of Dental Examiners

GOAL: 2 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 2 Indirect Administration - Complaint Resolution

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
Objects of Expense:						
1001	SALARIES AND WAGES	\$72,319	\$60,443	\$60,443	\$60,443	\$60,443
1002	OTHER PERSONNEL COSTS	\$5,214	\$821	\$3,821	\$2,321	\$2,321
2001	PROFESSIONAL FEES AND SERVICES	\$65	\$8,695	\$10,195	\$10,200	\$10,200
2009	OTHER OPERATING EXPENSE	\$1,130	\$1,036	\$4,341	\$5,836	\$5,836
TOTAL, OBJECT OF EXPENSE		\$78,728	\$70,995	\$78,800	\$78,800	\$78,800
Method of Financing:						
1	General Revenue Fund	\$78,728	\$70,995	\$78,800	\$78,800	\$78,800
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$78,728	\$70,995	\$78,800	\$78,800	\$78,800
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$78,800	\$78,800
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)					\$78,800	\$78,800
FULL TIME EQUIVALENT POSITIONS:		2.0	2.0	2.0	2.0	2.0
STRATEGY DESCRIPTION AND JUSTIFICATION:						

3.A. Strategy Request
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/15/2016 12:10:16PM

504 Texas State Board of Dental Examiners

GOAL: 2 Indirect Administration
 OBJECTIVE: 1 Indirect Administration Service Categories:
 STRATEGY: 2 Indirect Administration - Complaint Resolution Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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The strategy includes a proportionate allocation of the agency's indirect costs. The Finance and Administration Division performs administrative and support functions for the agency including the internal operating budget, reporting of performance measures, purchasing, accounts payable, accounts receivable, travel reimbursement, payroll and personnel management, property management, and mail distribution.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The FTEs in this area support the agency via human resources, payroll and other accounting and purchasing functions.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		BIENNIAL CHANGE	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2016 + Bud 2017)	Baseline Request (BL 2018 + BL 2019)		\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$149,795	\$157,600	\$7,805	\$7,805	Reclassified expenditures from other strategies
			<u>\$7,805</u>	Total of Explanation of Biennial Change

3.A. Strategy Request
85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

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SUMMARY TOTALS:

OBJECTS OF EXPENSE:	\$4,189,791	\$4,429,036	\$4,388,426	\$4,228,492	\$4,228,492
METHODS OF FINANCE (INCLUDING RIDERS):				\$4,228,492	\$4,228,492
METHODS OF FINANCE (EXCLUDING RIDERS):	\$4,189,791	\$4,429,036	\$4,388,426	\$4,228,492	\$4,228,492
FULL TIME EQUIVALENT POSITIONS:	53.7	58.0	58.0	56.0	56.0

3.A.1. PROGRAM-LEVEL REQUEST SCHEDULE
85th Regular Session, Agency Submission, Version 1

Agency Code: 504		Agency: Texas Board of Dental Examiners				Prepared By: Diane Fulmer							
Date: 8/12/16						16-17	Requested	Requested	Biennial Total	Biennial Difference			
Goal	Goal Name	Strategy	Strategy Name	Program	Program Name	Base	2018	2019	18-19	\$	%		
A	Quality Dental Care	A.1.1.	Complaint Resolution	A.1.1.	Complaint Resolution	\$5,839,133	\$2,759,328	\$2,759,328	\$5,518,656	(\$320,477)	-5.5%		
				A.1.1.	Exceptional Item #1 4% FTE	\$116,500	\$116,500	\$233,000	\$233,000				
				A.1.1.	Exceptional Item #2 IT	\$136,053	\$91,753	\$227,806	\$227,806				
				A.1.1.	Exceptional Item #4 Merit	\$60,361	\$60,361	\$120,722	\$120,722				
		A.1.2.	Peer Assistance	A.1.2.	Peer Assistance	\$248,500	\$124,250	\$124,250	\$248,500	\$0	0.0%		
				A.1.2.	Exceptional Item #4 Merit	\$648	\$648	\$1,296	\$1,296				
		A.2.1.	Licensure/Registration/Certification	A.2.1.	Licensure/Registration/Certification	\$1,895,940	\$924,081	\$924,081	\$1,848,162	(\$47,778)	-2.5%		
				A.2.1.	Exceptional Item #3 Licensing	\$75,110	\$75,110	\$150,220	\$150,220				
				A.2.1.	Exceptional Item #4 Merit	\$12,235	\$12,235	\$24,470	\$24,470				
		A.2.2.	Texas.Gov	A.2.2.	Texas.Gov	\$500,000	\$250,000	\$250,000	\$500,000	\$0	0.0%		
		B	Indirect Administration	B.1.1.	Indirect Admin - Licensure	B.1.1.	Indirect Admin - Licensure	\$184,094	\$92,033	\$92,033	\$184,066	(\$28)	0.0%
						B.1.1.	Exceptional Item #4 Merit	\$2,570	\$2,570	\$5,140	\$5,140		
B.1.2.	Indirect Admin - Complaint			B.1.2.	Indirect Admin - Complaint	\$149,795	\$78,800	\$78,800	\$157,600	\$7,805	5.2%		
				B.1.2.	Exceptional Item #4 Merit	\$1,813	\$1,813	\$3,626	\$3,626				

3.B. Rider Revisions and Additions Request

Agency Code: 504	Agency Name: Texas State Board of Dental Examiners	Prepared By: Diane Fulmer	Date: 8/5/2016	Request Level: Base
Current Rider Number	Page Number in 2016-17 GAA	Proposed Rider Language		

3

VIII-9

Contingency for Behavioral Health Funds

~~Notwithstanding appropriation authority granted above, the Comptroller of Public Accounts shall not allow the expenditure of General Revenue Related behavioral health funds for the Board of Dental Examiners in Strategy A.1.2, Peer Assistance, in fiscal year 2017, as identified in Art. IX, Sec. 10.04, Statewide Behavioral Health Strategic Plan and Coordinated Expenditures, if the Legislative Budget Board provides notification to the Comptroller of Public Accounts that the agency's planned expenditure of those funds in fiscal year 2017 does not satisfy the requirements of Art. IX, Sec 10.04, Statewide Behavioral Health Strategic Plan and Coordinated Expenditures.~~

This rider needs to be removed.

**3.B. Rider Revisions and Additions Request
(continued)**

Agency Code: 504	Agency Name: Texas State Board of Dental Examiners	Prepared By: Diane Fulmer	Date: 8/5/2016	Request Level: Base
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Current Rider Number	Page Number in 2016-17 GAA	Proposed Rider Language
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3

VIII-67

Funding for Health Professions Council. An agency participating in the Health Professions Council shall transfer funds through interagency contract to the Health Professions Council from appropriations made to the agency elsewhere in this Act in order to carry out the functions required under Chapter 1010, Title 3 Occupations code. Included in the amounts appropriated above to the Health Professions Council, are funds transferred by the following participating agencies in the amounts noted below for each year of the ~~2016-17~~ biennium: year of the 2018-19 biennium:

	Fiscal Year	Fiscal Year
	2016	2017
	<u>2018</u>	<u>2019</u>
.....		
Board of Dental Examiners	\$257,118	\$261,136
	<u>\$263,399</u>	<u>\$263,399</u>
.....		

This rider needs to continue with the above noted changes.

3.B. Rider Revisions and Additions Request (continued)

Agency Code: 504	Agency Name: Texas State Board of Dental Examiners	Prepared By: Diane Fulmer	Date: 8/5/2016	Request Level: Base
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Current Rider Number	Page Number in 2016-17 GAA	Proposed Rider Language
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4

VIII-68

Texas.gov Authority Appropriation

a. Each Article VIII licensing agency participating in the Texas.gov Authority is authorized in accordance with § 2054.252 of the Government Code to increase the occupational license, permit, and registration fees imposed on the licensees by an amount sufficient to cover the cost of the subscription fee charged by the Texas.gov Authority.

b. The following is an informational listing for each Article VIII licensing agency participating in Texas.gov of appropriated fee revenue for the purpose of paying Texas.gov Authority subscription fees.

	Fiscal Year	Fiscal Year
	2016	2017
	<u>2018</u>	<u>2019</u>
.....		
Board of Veterinary Medical Examiners	\$250,000	\$250,000
	<u>\$250,000</u>	<u>\$250,000</u>

Total

c. In the event that actual and/or projected revenue collections for fee increases to cover the cost of Texas.gov subscription fees are insufficient to offset the costs identified above, the Comptroller is hereby directed to reduce the appropriation authority provided by this Act to agencies participating in Texas.gov to be within the amount of fee revenue expected to be available.

**3.B. Rider Revisions and Additions Request
(continued)**

Agency Code: 504	Agency Name: Texas State Board of Dental Examiners	Prepared By: Diane Fulmer	Date: 8/5/2016	Request Level: Base
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Current Rider Number	Page Number in 2016-17 GAA	Proposed Rider Language
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d. For new licensing applications, the Article VIII licensing agencies participating in Texas.gov are hereby appropriated the additional revenue generated from occupational license, permit, or registration fees in excess of the Comptroller's biennial revenue estimate ~~2016-17~~ 2018-19 for the sole purpose of payment to the Texas.gov Authority contractor of subscription fees for implementing and maintaining electronic services for the licensing agencies. Each agency, upon completion of necessary actions to access or increase fees, shall furnish copies of board meeting minutes, an annual schedule of the number of license issuances or renewals and associated annual fee total, and any other supporting documentation to the Comptroller. If the Comptroller finds the information sufficient to support the projection of the increased revenues, a notification letter will be issued and the contingent appropriation made available for the intended purposes.

e. Each Article VIII licensing agency participating in Texas.gov shall notify the Legislative Budget Board and the Comptroller of Public Accounts in writing upon receiving an exemption from participating in Texas.gov. Within 45 days of receiving an exemption, an agency shall provide the Legislative Budget Board and the Comptroller with a report of the effective date, the reason for the exemption, and all estimated expenditures for Texas.gov costs in the fiscal year in which the exemption is made.

This rider needs to continue with the above noted changes. The agency needs the authority to appropriate the additional revenue collected since this is a pass-through appropriation and any fees collected will be paid out to the vendor providing the service, no amounts will be retained by the agency.

3.B. Rider Revisions and Additions Request (continued)

Agency Code: 504	Agency Name: Texas State Board of Dental Examiners	Prepared By: Diane Fulmer	Date: 8/5/2016	Request Level: Base
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Current Rider Number	Page Number in 2016-17 GAA	Proposed Rider Language
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5

VIII-69

Peer Assistance Program Funding Requirements. Funds collected during the biennium beginning September 1, ~~2015~~ 2017, by the Board of Pharmacy pursuant to Chapter 564, Occupations Code, and by the Texas Board of Nursing, the Texas State Board of Dental Examiners, the Optometry Board, and the Board of Veterinary Medical Examiners pursuant to Chapter 467 of the Health and Safety Code, in order to administer or finance peer assistance programs for professionals impaired by chemical dependency or mental illness, are appropriated elsewhere in this Act as identified in each Board's peer assistance strategy. The expenditure of the appropriations identified by this section is hereby made contingent upon sufficient revenue collections from peer assistance surcharges or other receipts collected pursuant to Chapter 467 of the Health and Safety Code or Chapter 564, Occupations Code as appropriate. None of the appropriations identified by this section may be expended unless each agency with a peer assistance program has on file the following current documents:

- a. a request for proposal documentation and contracts documenting that the respective agency governing board has a competitively bid contract with the peer assistance program;
- b. documentation for programs authorized under Chapter 467 of the Health and Safety Code that the agency's peer assistance program has been certified by the Department of State Health Services (DSHS) as meeting all DSHS criteria for peer assistance programs;
- c. documentation for programs authorized under Chapter 467 showing compliance with statutory requirements regarding eligible participants and conditions for which services may be offered; and
- d. documentation that the program has been approved by the agency governing board.

This rider needs to continue with the above noted changes.

4.A. Exceptional Item Request Schedule
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/15/2016
 TIME: 12:10:18PM

Agency code: 504

Agency name:

Texas State Board of Dental Examiners

CODE	DESCRIPTION	Excp 2018	Excp 2019
	Item Name: Reinstatement of FTEs from 4% mandatory reduction		
	Item Priority: 1		
	IT Component: No		
	Anticipated Out-year Costs: Yes		
	Involve Contracts > \$50,000: No		
	Includes Funding for the Following Strategy or Strategies: 01-01-01 Provide a System to Investigate and Resolve Complaints		
 OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	116,500	116,500
	TOTAL, OBJECT OF EXPENSE	\$116,500	\$116,500
 METHOD OF FINANCING:			
1	General Revenue Fund	116,500	116,500
	TOTAL, METHOD OF FINANCING	\$116,500	\$116,500
	FULL-TIME EQUIVALENT POSITIONS (FTE):	3.00	3.00

DESCRIPTION / JUSTIFICATION:

The requested four percent budget reduction amounts would affect the TSBDE's Enforcement and Licensing, as well as indirect agency administration. The TSBDE's responsibility is to respond to demands from our licensees and the public and is not able to control the level of demand for services. With the four percent reduction as well as a possible ten percent reduction, the TSBDE would not be able to sustain the current level of services to its licensees and the public. The TSBDE had previous increases in budget and full time employees (FTEs) allowing the TSBDE to become more efficient with its services to licensees and the public. In order to meet the budget reductions, the TSBDE would experience a significant impact to FTEs. Three FTEs with the 4% reduction, an additional 5 FTEs in the first 5% and an additional 2 FTEs in the second 5%. These reductions would have a significant impact on the TSBDE's ability to timely investigate and prosecute standard of care cases as well as the ability to process new licensure applications and license renewals in a timely manner.

EXTERNAL/INTERNAL FACTORS:

The board cannot function with three less FTEs.

DESCRIPTION OF ANTICIPATED OUT-YEAR COSTS :

Ongoing salary cost for reinstating 3 FTEs

ESTIMATED ANTICIPATED OUT-YEAR COSTS FOR ITEM:

2020	2021	2022
\$116,500	\$116,500	\$116,500

4.A. Exceptional Item Request Schedule
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/15/2016
 TIME: 12:10:18PM

Agency code: 504

Agency name:

Texas State Board of Dental Examiners

CODE	DESCRIPTION	Excp 2018	Excp 2019
	<p>Item Name: Information Technology Item Priority: 2 IT Component: Yes Anticipated Out-year Costs: Yes Involve Contracts > \$50,000: No</p> <p>Includes Funding for the Following Strategy or Strategies: 01-01-01 Provide a System to Investigate and Resolve Complaints</p>		
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	70,200	70,200
1002	OTHER PERSONNEL COSTS	702	702
2009	OTHER OPERATING EXPENSE	65,151	20,851
	TOTAL, OBJECT OF EXPENSE	\$136,053	\$91,753
METHOD OF FINANCING:			
1	General Revenue Fund	136,053	91,753
	TOTAL, METHOD OF FINANCING	\$136,053	\$91,753
	FULL-TIME EQUIVALENT POSITIONS (FTE):	1.00	1.00

DESCRIPTION / JUSTIFICATION:

Information Technology (IT) touches every aspect of TSBDE's day-to-day operations. Our current IT staff, two FTEs, support agency staff (58 FTEs), board members (15), and Dental Review Panel Members (150) on an as needed basis. The current IT staff is striving to seamlessly support the agency's needs, including but not limited to, user support, server maintenance, data retrieval and document security, but it has become a massive task for two people.

EXTERNAL/INTERNAL FACTORS:

Additionally, our agency is moving closer to a paperless office with the integration of the PaperVision workflow system in our Enforcement Division, which will be piloted on September 1, 2016 and fully implemented on November 1, 2016. The Paper Vision system will be an advancement to our agency efficiency but will require our IT staff to provide additional user assistance and continuous maintenance of another system. The PaperVision system will also require a reporting module that would be able to extract essential data in a report format that would allow agency staff to produce information, track trends and increase public knowledge about dental issues in Texas. The addition of this reporting software would also require an additional server.

DESCRIPTION OF IT COMPONENT INCLUDED IN EXCEPTIONAL ITEM:

To increase agency security and efficiency, this requires: moving to a cloud server, such as Amazon Web Services Server Offsite backup and failover; adding a Firewall, such as Palo Alto Enterprise Firewall for IT Security; transitioning to MS Office 365; and remote software for 3 IT technicians. This also includes a dashboard reporting module in order to move to a paperless environment.

IS THIS IT COMPONENT RELATED TO A NEW OR CURRENT PROJECT?

CURRENT

4.A. Exceptional Item Request Schedule
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/15/2016**
 TIME: **12:10:18PM**

Agency code: **504**

Agency name:

Texas State Board of Dental Examiners

CODE	DESCRIPTION	Excp 2018	Excp 2019
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PROPOSED SOFTWARE EXAMPLES (Client-side, server-side, Midrange and Mainframe)

Amazon Web Services Server Offsite backup and failover; Palo Alto Enterprise Firewall for IT Security; MS Office 365; and remote software for 3 IT technicians and Dashboard Reporting Module.

PROPOSED HARDWARE EXAMPLES (Desktop, Laptop, Tablets, Servers, Mainframes, Printers and Monitors)

None

DEVELOPMENT COST AND OTHER COSTS

None

TYPE OF PROJECT

Security

ALTERNATIVE ANALYSIS

If this is not funded then it would impair the ability of the agency to fully protect licensee data and would reduce the efficiency of the licensing staff. The request could be partially funded as each software request is not dependent on the other.

ESTIMATED IT COST

2016	2017	2018	2019	2020	2021	2022	Total Over Life of Project
\$0	\$0	\$61,800	\$0	\$0	\$0	\$0	\$61,800

DESCRIPTION OF ANTICIPATED OUT-YEAR COSTS :

Ongoing salary cost for IT personnel and additional software costs

ESTIMATED ANTICIPATED OUT-YEAR COSTS FOR ITEM:

2020	2021	2022
\$91,753	\$91,753	\$91,753

4.A. Exceptional Item Request Schedule
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/15/2016
 TIME: 12:10:18PM

Agency code: 504

Agency name:

Texas State Board of Dental Examiners

CODE	DESCRIPTION	Excp 2018	Excp 2019
	Item Name: Licensing FTEs		
	Item Priority: 3		
	IT Component: No		
	Anticipated Out-year Costs: Yes		
	Involve Contracts > \$50,000: No		
	Includes Funding for the Following Strategy or Strategies: 01-02-01 Conduct an Efficient Licensure/Resistration/Certification Process		
 OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	74,000	74,000
1002	OTHER PERSONNEL COSTS	740	740
2009	OTHER OPERATING EXPENSE	370	370
	TOTAL, OBJECT OF EXPENSE	\$75,110	\$75,110
 METHOD OF FINANCING:			
1	General Revenue Fund	75,110	75,110
	TOTAL, METHOD OF FINANCING	\$75,110	\$75,110
	FULL-TIME EQUIVALENT POSITIONS (FTE):	2.00	2.00

DESCRIPTION / JUSTIFICATION:

The TSBDE Licensing Division includes a Director of Licensing, a Program Specialist who assists the Director of Licensing, and seven full time License and Permit Specialists, that are charged with accepting, managing and issuing new licenses for dentists, dental hygienists, dental assistants and dental labs. The Licensing Division staff of nine is also responsible for managing, overseeing, and issuing licensure renewals for approximately 64,781 dental professionals annually including overseeing continuing education audits.

EXTERNAL/INTERNAL FACTORS:

The TSBDE sees an increase of applicants during graduation season (May-June) which with only nine employees ultimately delays processing times from the normal 2-4 weeks to 6-8 weeks for an initial license. The Licensing Division has the highest turnover rate due to the volume of work and lowest salaries at the agency.

DESCRIPTION OF ANTICIPATED OUT-YEAR COSTS :

Ongoing salary costs for additional FTE

ESTIMATED ANTICIPATED OUT-YEAR COSTS FOR ITEM:

2020	2021	2022
\$75,110	\$75,110	\$75,110

4.A. Exceptional Item Request Schedule
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/15/2016**
 TIME: **12:10:18PM**

Agency code: **504**

Agency name:

Texas State Board of Dental Examiners

CODE	DESCRIPTION		Excp 2018	Excp 2019
	Item Name:	Merit Salary		
	Item Priority:	4		
	IT Component:	No		
	Anticipated Out-year Costs:	No		
	Involve Contracts > \$50,000:	No		
	Includes Funding for the Following Strategy or Strategies:	01-01-01 Provide a System to Investigate and Resolve Complaints		
		01-01-02 Provide a Peer Assistance Program for Licensed Individuals		
		01-02-01 Conduct an Efficient Licensure/Resistration/Certification Process		
		02-01-01 Indirect Administration - Licensure and Registration		
		02-01-02 Indirect Administration - Complaint Resolution		
OBJECTS OF EXPENSE:				
1001	SALARIES AND WAGES		77,627	77,627
	TOTAL, OBJECT OF EXPENSE		77,627	77,627
METHOD OF FINANCING:				
1	General Revenue Fund		77,627	77,627
	TOTAL, METHOD OF FINANCING		77,627	77,627

DESCRIPTION / JUSTIFICATION:

The TSBDE respectfully requests consideration of annual salary increases for all TSBDE employees. Merit increases motivate employees to work harder and professionally achieve more while obtaining agency wide goals. While we appreciate the Governor's directive to make across the board cuts by 4% and propose an additional 10% reduction plan, however, I value my employees and merit increases have never been requested previously for this agency

EXTERNAL/INTERNAL FACTORS:

To reduce employee turnover and minimize agency disruption

4.B. Exceptional Items Strategy Allocation Schedule
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/15/2016
 TIME: 12:10:18PM

Agency code: 504 Agency name: Texas State Board of Dental Examiners

Code	Description	Excp 2018	Excp 2019
Item Name: Reinstatement of FTEs from 4% mandatory reduction			
Allocation to Strategy: 1-1-1 Provide a System to Investigate and Resolve Complaints			
OUTPUT MEASURES:			
1	Number of Complaints Resolved	100.00	100.00
EFFICIENCY MEASURES:			
1	Average Time for Complaint Resolution	50.00	50.00
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	116,500	116,500
TOTAL, OBJECT OF EXPENSE		\$116,500	\$116,500
METHOD OF FINANCING:			
1	General Revenue Fund	116,500	116,500
TOTAL, METHOD OF FINANCING		\$116,500	\$116,500
FULL-TIME EQUIVALENT POSITIONS (FTE):		3.0	3.0

4.B. Exceptional Items Strategy Allocation Schedule
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/15/2016
 TIME: 12:10:18PM

Agency code: 504 Agency name: Texas State Board of Dental Examiners

Code	Description	Excp 2018	Excp 2019
Item Name: Information Technology			
Allocation to Strategy: 1-1-1 Provide a System to Investigate and Resolve Complaints			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	70,200	70,200
1002	OTHER PERSONNEL COSTS	702	702
2009	OTHER OPERATING EXPENSE	65,151	20,851
TOTAL, OBJECT OF EXPENSE		\$136,053	\$91,753
METHOD OF FINANCING:			
1	General Revenue Fund	136,053	91,753
TOTAL, METHOD OF FINANCING		\$136,053	\$91,753
FULL-TIME EQUIVALENT POSITIONS (FTE):		1.0	1.0

4.B. Exceptional Items Strategy Allocation Schedule
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/15/2016
 TIME: 12:10:18PM

Agency code: **504** Agency name: **Texas State Board of Dental Examiners**

Code	Description	Excp 2018	Excp 2019
Item Name: Licensing FTEs			
Allocation to Strategy:		1-2-1	Conduct an Efficient Licensure/Resistration/Certification Process
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	74,000	74,000
1002	OTHER PERSONNEL COSTS	740	740
2009	OTHER OPERATING EXPENSE	370	370
TOTAL, OBJECT OF EXPENSE		\$75,110	\$75,110
METHOD OF FINANCING:			
1	General Revenue Fund	75,110	75,110
TOTAL, METHOD OF FINANCING		\$75,110	\$75,110
FULL-TIME EQUIVALENT POSITIONS (FTE):		2.0	2.0

4.B. Exceptional Items Strategy Allocation Schedule
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/15/2016
 TIME: 12:10:18PM

Agency code: **504** Agency name: **Texas State Board of Dental Examiners**

Code	Description	Excp 2018	Excp 2019
Item Name:	Merit Salary		
Allocation to Strategy:	1-1-1 Provide a System to Investigate and Resolve Complaints		
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	60,361	60,361
TOTAL, OBJECT OF EXPENSE		\$60,361	\$60,361
METHOD OF FINANCING:			
1	General Revenue Fund	60,361	60,361
TOTAL, METHOD OF FINANCING		\$60,361	\$60,361

4.B. Exceptional Items Strategy Allocation Schedule
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/15/2016
 TIME: 12:10:18PM

Agency code:	504	Agency name:	Texas State Board of Dental Examiners		
Code	Description			Excp 2018	Excp 2019
Item Name:	Merit Salary				
Allocation to Strategy:	1-1-2	Provide a Peer Assistance Program for Licensed Individuals			
OBJECTS OF EXPENSE:					
	1001 SALARIES AND WAGES			648	648
TOTAL, OBJECT OF EXPENSE				\$648	\$648
METHOD OF FINANCING:					
	1 General Revenue Fund			648	648
TOTAL, METHOD OF FINANCING				\$648	\$648

4.B. Exceptional Items Strategy Allocation Schedule
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/15/2016**
 TIME: **12:10:18PM**

Agency code: **504** Agency name: **Texas State Board of Dental Examiners**

Code	Description	Excp 2018	Excp 2019
Item Name:	Merit Salary		
Allocation to Strategy:	1-2-1 Conduct an Efficient Licensure/Resistration/Certification Process		
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	12,235	12,235
TOTAL, OBJECT OF EXPENSE		12,235	12,235
METHOD OF FINANCING:			
1	General Revenue Fund	12,235	12,235
TOTAL, METHOD OF FINANCING		12,235	12,235

4.B. Exceptional Items Strategy Allocation Schedule
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/15/2016
 TIME: 12:10:18PM

Agency code: **504** Agency name: **Texas State Board of Dental Examiners**

Code	Description	Excp 2018	Excp 2019
Item Name:	Merit Salary		
Allocation to Strategy:	2-1-1 Indirect Administration - Licensure and Registration		
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	2,570	2,570
TOTAL, OBJECT OF EXPENSE		2,570	2,570
METHOD OF FINANCING:			
1	General Revenue Fund	2,570	2,570
TOTAL, METHOD OF FINANCING		2,570	2,570

4.B. Exceptional Items Strategy Allocation Schedule
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/15/2016
 TIME: 12:10:18PM

Agency code: 504 Agency name: Texas State Board of Dental Examiners

Code	Description	Excp 2018	Excp 2019
Item Name:	Merit Salary		
Allocation to Strategy:	2-1-2 Indirect Administration - Complaint Resolution		
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	1,813	1,813
TOTAL, OBJECT OF EXPENSE		1,813	1,813
METHOD OF FINANCING:			
1	General Revenue Fund	1,813	1,813
TOTAL, METHOD OF FINANCING		1,813	1,813

4.C. Exceptional Items Strategy Request
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/15/2016
TIME: 12:10:18PM

Agency Code: **504** Agency name: **Texas State Board of Dental Examiners**

GOAL: 1 To Ensure Quality Dental Care for the People of Texas
 OBJECTIVE: 1 Protect the Public by Ensuring That Complaints Are Investigated
 STRATEGY: 1 Provide a System to Investigate and Resolve Complaints

Service Categories:
 Service: 16 Income: A.2 Age: B.3

CODE DESCRIPTION	Exp 2018	Exp 2019
-------------------------	-----------------	-----------------

OUTPUT MEASURES:

<u>1</u> Number of Complaints Resolved	1,100.00	1,100.00
----------------------------------------	----------	----------

EFFICIENCY MEASURES:

<u>1</u> Average Time for Complaint Resolution	280.00	280.00
------------------------------------------------	--------	--------

OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	247,061	247,061
1002 OTHER PERSONNEL COSTS	702	702
2009 OTHER OPERATING EXPENSE	65,151	20,851
Total, Objects of Expense	\$312,914	\$268,614

METHOD OF FINANCING:

1 General Revenue Fund	312,914	268,614
Total, Method of Finance	\$312,914	\$268,614

FULL-TIME EQUIVALENT POSITIONS (FTE):

	4.0	4.0
--	-----	-----

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Reinstatement of FTEs from 4% mandatory reduction

Information Technology

Merit Salary

4.C. Exceptional Items Strategy Request
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/15/2016
TIME: 12:10:18PM

Agency Code: 504 Agency name: Texas State Board of Dental Examiners

GOAL: 1 To Ensure Quality Dental Care for the People of Texas
 OBJECTIVE: 1 Protect the Public by Ensuring That Complaints Are Investigated
 STRATEGY: 2 Provide a Peer Assistance Program for Licensed Individuals

Service Categories:
 Service: 16 Income: A.2 Age: B.3

<u>CODE DESCRIPTION</u>	<u>Excp 2018</u>	<u>Excp 2019</u>
OBJECTS OF EXPENSE:		
1001 SALARIES AND WAGES	648	648
Total, Objects of Expense	\$648	\$648
METHOD OF FINANCING:		
1 General Revenue Fund	648	648
Total, Method of Finance	\$648	\$648

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Merit Salary

4.C. Exceptional Items Strategy Request
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/15/2016
TIME: 12:10:18PM

Agency Code: **504** Agency name: **Texas State Board of Dental Examiners**

GOAL: 1 To Ensure Quality Dental Care for the People of Texas

OBJECTIVE: 2 Ensure Licensing Standards Are Met

STRATEGY: 1 Conduct an Efficient Licensure/Resistration/Certification Process

Service Categories:

Service: 16 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2018	Excp 2019
-------------------------	------------------	------------------

OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	86,235	86,235
1002 OTHER PERSONNEL COSTS	740	740
2009 OTHER OPERATING EXPENSE	370	370
Total, Objects of Expense	\$87,345	\$87,345

METHOD OF FINANCING:

1 General Revenue Fund	87,345	87,345
Total, Method of Finance	\$87,345	\$87,345

FULL-TIME EQUIVALENT POSITIONS (FTE):	2.0	2.0
----------------------------------------------	-----	-----

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Licensing FTEs

Merit Salary

4.C. Exceptional Items Strategy Request
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/15/2016
TIME: 12:10:18PM

Agency Code: **504** Agency name: **Texas State Board of Dental Examiners**

GOAL: 2 Indirect Administration

OBJECTIVE: 1 Indirect Administration

STRATEGY: 1 Indirect Administration - Licensure and Registration

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE DESCRIPTION	Exp 2018	Exp 2019
-------------------------	-----------------	-----------------

OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	2,570	2,570
Total, Objects of Expense	\$2,570	\$2,570

METHOD OF FINANCING:

1 General Revenue Fund	2,570	2,570
Total, Method of Finance	\$2,570	\$2,570

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Merit Salary

4.C. Exceptional Items Strategy Request
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/15/2016
TIME: 12:10:18PM

Agency Code: **504** Agency name: **Texas State Board of Dental Examiners**

GOAL: 2 Indirect Administration

OBJECTIVE: 1 Indirect Administration

STRATEGY: 2 Indirect Administration - Complaint Resolution

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE DESCRIPTION	Exp 2018	Exp 2019
-------------------------	-----------------	-----------------

OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES

1,813

1,813

Total, Objects of Expense

\$1,813

\$1,813

METHOD OF FINANCING:

1 General Revenue Fund

1,813

1,813

Total, Method of Finance

\$1,813

\$1,813

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Merit Salary

6.A. Historically Underutilized Business Supporting Schedule
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/15/2016
 Time: 12:10:19PM

Agency Code: 504 Agency: Texas State Board of Dental Examiners

COMPARISON TO STATEWIDE HUB PROCUREMENT GOALS

A. Fiscal Year 2014 - 2015 HUB Expenditure Information

Statewide HUB Goals	Procurement Category	% Goal	<u>HUB Expenditures FY 2014</u>			Total Expenditures FY 2014	% Goal	<u>HUB Expenditures FY 2015</u>			Total Expenditures FY 2015
			% Actual	Diff	Actual \$			% Actual	Diff	Actual \$	
11.2%	Heavy Construction	11.2 %	0.0%	-11.2%	\$0	\$37,091	11.2 %	0.0%	-11.2%	\$0	\$12,368
23.7%	Professional Services	24.6 %	0.0%	-24.6%	\$0	\$125	24.7 %	0.0%	-24.7%	\$0	\$0
26.0%	Other Services	24.6 %	0.0%	-24.6%	\$0	\$183,500	26.0 %	7.4%	-18.6%	\$21,846	\$296,416
21.1%	Commodities	21.0 %	61.4%	40.4%	\$39,376	\$64,170	21.1 %	72.1%	51.0%	\$159,433	\$221,120
	Total Expenditures		13.8%		\$39,376	\$284,886		34.2%		\$181,279	\$529,904

B. Assessment of Fiscal Year 2014 - 2015 Efforts to Meet HUB Procurement Goals

Attainment:

The agency exceeded one of the four statewide procurement goals in 2014 and 2015

Applicability:

Building Construction and Special Trade categories do not apply to the agency.

Factors Affecting Attainment:

Barriers to meeting attainment goals has been the unavailability of HUB vendors for specific contracts such as Peer Assistance which results in the need to contract with a non-HUB vendor.

"Good-Faith" Efforts:

The agency has made the following good faith efforts to comply with Statewide HUB procurement goals:

Ensure for items or services solicited for bids that specification, terms and conditions reflect actual requirements, were clearly stated and did not impose any unreasonable or unnecessary contract requirements.

Prepared and distributed information on procurement procedures in a manner that encouraged participation in agency procurements by all businesses.

6.E. Estimated Revenue Collections Supporting Schedule
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **504** Agency name: **Texas State Board of Dental Examiners**

FUND/ACCOUNT	Act 2015	Exp 2016	Exp 2017	Bud 2018	Est 2019
1 General Revenue Fund					
Beginning Balance (Unencumbered):	\$0	\$0	\$0	\$0	\$0
Estimated Revenue:					
3562 Health Related Profession Fees	8,478,740	7,679,464	8,000,000	8,000,000	8,000,000
3570 Peer Assistance Prog Fees	171,357	138,212	150,000	150,000	150,000
3572 Health Rel Prof Fees-HB11, GR Incr	3,098,190	49,933	0	0	0
3727 Fees - Administrative Services	14,175	49,375	50,000	50,000	50,000
3770 Administratve Penalties	0	9,250	0	0	0
3879 Credit Card and Related Fees	12,946	13,620	13,620	13,620	13,620
Subtotal: Actual/Estimated Revenue	11,775,408	7,939,854	8,213,620	8,213,620	8,213,620
Total Available	\$11,775,408	\$7,939,854	\$8,213,620	\$8,213,620	\$8,213,620
DEDUCTIONS:					
Expended, Budgeted	(3,893,084)	(4,081,704)	(4,129,926)	(3,969,992)	(3,969,992)
EE Benefits	(704,600)	(799,575)	(800,000)	(800,000)	(800,000)
Other Indirect Costs	(128,058)	(124,813)	(124,899)	(124,899)	(124,899)
Total, Deductions	\$(4,725,742)	\$(5,006,092)	\$(5,054,825)	\$(4,894,891)	\$(4,894,891)
Ending Fund/Account Balance	\$7,049,666	\$2,933,762	\$3,158,795	\$3,318,729	\$3,318,729

REVENUE ASSUMPTIONS:

Revenues in the General Revenue Fund are from collected fees and surcharges statutorily applied to all applications, renewals and temporary licenses of dentists, dental hygienists, dental assistants and lab facilities.

CONTACT PERSON:

Diane Fulmer

6.E. Estimated Revenue Collections Supporting Schedule
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **504** Agency name: **Texas State Board of Dental Examiners**

FUND/ACCOUNT	Act 2015	Exp 2016	Exp 2017	Bud 2018	Est 2019
666 Appropriated Receipts					
Beginning Balance (Unencumbered):	\$0	\$0	\$0	\$0	\$0
Estimated Revenue:					
3719 Fees/Copies or Filing of Records	9,455	7,057	8,000	8,000	8,000
3722 Conf, Semin, & Train Regis Fees	286,491	300,018	250,000	250,000	250,000
3752 Sale of Publications/Advertising	760	257	500	500	500
Subtotal: Actual/Estimated Revenue	296,706	307,332	258,500	258,500	258,500
Total Available	\$296,706	\$307,332	\$258,500	\$258,500	\$258,500
DEDUCTIONS:					
Expended, Budgeted	(296,706)	(307,332)	(258,500)	(258,500)	(258,500)
Total, Deductions	\$(296,706)	\$(307,332)	\$(258,500)	\$(258,500)	\$(258,500)
Ending Fund/Account Balance	\$0	\$0	\$0	\$0	\$0

REVENUE ASSUMPTIONS:

Appropriated receipts include collected fees primarily from online continuing education of the jurisprudence assessment, the sale of publications and copies for open records requests.

CONTACT PERSON:

Diane Fulmer

6.F.a. Advisory Committee Supporting Schedule ~ Part A
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/15/2016
 Time: 12:10:35PM

Agency Code: **504** Agency: **Texas State Board of Dental Examiners**

DENTAL HYGIENE ADVISORY COMMITTEE

Statutory Authorization: Tx. Occup. Code, Sec. 262.051, et. seq.
 Number of Members: 6
 Committee Status: Ongoing
 Date Created: 09/01/1977
 Date to Be Abolished: N/A
 Strategy (Strategies): 1-1-1 COMPLAINT RESOLUTION

Advisory Committee Costs	Expended Exp 2015	Estimated Est 2016	Budgeted Bud 2017	Requested BL 2018	Requested BL 2019
Committee Members Direct Expenses					
Travel	\$600	\$600	\$600	\$0	\$0
Total, Committee Expenditures	\$600	\$600	\$600	\$0	\$0
Method of Financing					
General Revenue Fund	\$600	\$600	\$600	\$0	\$0
Total, Method of Financing	\$600	\$600	\$600	\$0	\$0
Meetings Per Fiscal Year	2	2	2	0	0

6.F.a. Advisory Committee Supporting Schedule ~ Part A
85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/15/2016
Time: 12:10:35PM

Agency Code: **504** Agency: **Texas State Board of Dental Examiners**

Description and Justification for Continuation/Consequences of Abolishing

Statutory authority for the Dental Hygiene Advisory Committee (DHAC) is found in Texas Occupations Code, Sec. 262.051, et. seq. DHAC advises the SBDE on matters relating to dental hygiene. DHAC is composed of six members as follows: (a) three dental hygienists appointed by the Governor; (b) two public members appointed by the Governor; (c) one dentist appointed by the SBDE. Members of the Advisory Committee serve staggered six-year terms.

6.F.a. Advisory Committee Supporting Schedule ~ Part A

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/15/2016
Time: 12:10:35PM

Agency Code: 504 Agency: Texas State Board of Dental Examiners

DENTAL LAB CERTIFICATION COUCIL

Statutory Authorization: Tx. Occup. Code, Sec. 266.051 et. seq.
Number of Members: 3
Committee Status: Ongoing
Date Created: 09/01/1987
Date to Be Abolished: N/A
Strategy (Strategies): 1-1-1 COMPLAINT RESOLUTION

Advisory Committee Costs

Method of Financing

Meetings Per Fiscal Year 0 0 0 0 0

6.F.a. Advisory Committee Supporting Schedule ~ Part A

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/15/2016
Time: 12:10:35PM

Agency Code: 504 Agency: Texas State Board of Dental Examiners

Description and Justification for Continuation/Consequences of Abolishing

Statutory authority for the Dental Laboratory Certification Council (DLCC) is found in the Texas Occupations Code, Sec. 266.052 et.seq. DLCC advises the SBDE on matters relating to dental laboratories. DLCC is composed of three members appointed by the SBDE for a two-year term and may be reappointed to serve not more than three additional terms. To be eligible for appointment, a person must be a certified dental technician (CDT) who is an owner, manager, or employee of a dental laboratory registered with the SBDE.

6.F.b. Advisory Committee Supporting Schedule ~ Part B

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: **8/15/2016**
Time: **12:10:36PM**

Agency Code: **504** Agency: **Texas State Board of Dental Examiners**

ADVISORY COMMITTEES THAT SHOULD BE ABOLISHED/CONSOLIDATED

DENTAL HYGIENE ADVISORY COMMITTEE

Reasons for Abolishing

Per Sunset recommendation

6.F.b. Advisory Committee Supporting Schedule ~ Part B

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: **8/15/2016**
Time: **12:10:36PM**

Agency Code: **504** Agency: **Texas State Board of Dental Examiners**

ADVISORY COMMITTEES THAT SHOULD BE ABOLISHED/CONSOLIDATED

DENTAL LAB CERTIFICATION COUCIL

Reasons for Abolishing

Per Sunset recommendation

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/15/2016
Time: 12:10:36PM

Agency code: 504 Agency name: Texas State Board of Dental Examiners

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2018	2019	Biennial Total	2018	2019	Biennial Total	
1 Staffing Reductions							
Category: Programs - Service Reductions (Contracted)							
Item Comment: A 10% reduction would create the need to layoff 7 FTEs.							
Strategy: 1-1-1 Provide a System to Investigate and Resolve Complaints							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$300,000	\$300,000	\$600,000	
General Revenue Funds Total	\$0	\$0	\$0	\$300,000	\$300,000	\$600,000	
Strategy: 1-2-1 Conduct an Efficient Licensure/Resistration/Certification Process							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$33,000	\$33,000	\$66,000	
General Revenue Funds Total	\$0	\$0	\$0	\$33,000	\$33,000	\$66,000	
Item Total	\$0	\$0	\$0	\$333,000	\$333,000	\$666,000	
FTE Reductions (From FY 2018 and FY 2019 Base Request)				8.0	8.0		
2 Reduce Operational Expenditures							
Category: Programs - Service Reductions (Other)							
Item Comment: A 10% reduction would also create the need to severely reduce Board and investigator travel and other operational expenditures.							
Strategy: 1-1-1 Provide a System to Investigate and Resolve Complaints							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$46,574	\$46,574	\$93,148	
General Revenue Funds Total	\$0	\$0	\$0	\$46,574	\$46,574	\$93,148	
Strategy: 1-2-1 Conduct an Efficient Licensure/Resistration/Certification Process							

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/15/2016
Time: 12:10:36PM

Agency code: **504** Agency name: **Texas State Board of Dental Examiners**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2018	2019	Biennial Total	2018	2019	Biennial Total	
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$5,000	\$5,000	\$10,000	
General Revenue Funds Total	\$0	\$0	\$0	\$5,000	\$5,000	\$10,000	
Item Total	\$0	\$0	\$0	\$51,574	\$51,574	\$103,148	
FTE Reductions (From FY 2018 and FY 2019 Base Request)							
AGENCY TOTALS							
General Revenue Total				\$384,574	\$384,574	\$769,148	\$769,148
Agency Grand Total	\$0	\$0	\$0	\$384,574	\$384,574	\$769,148	
Difference, Options Total Less Target							
Agency FTE Reductions (From FY 2018 and FY 2019 Base Request)				8.0	8.0		

6.J. Summary of Behavioral Health Funding

Agency Code: 504		Agency: Texas State Board of Dental Examiners				Prepared by: Diane Fulmer				
Date: 8/11/16										
#	Program Name	Service Type	Summary Description	Fund Type	2016-17 Base	2018-19 Total Request	Biennial Difference	Percentage Change	2018-19 Requested for Mental Health Services	2018-19 Requested for Substance Abuse Services
1	Peer Assistance	Prevention	Provide treatment to dentists impaired by chemical dependency or mental illness through the peer assistance program	GR	248,500	248,500	-	0.0%	-	248,500
				GR-D	-	-	-		-	-
				FF	-	-	-		-	-
				IAC	-	-	-		-	-
				Other	-	-	-		-	-
				Subtotal	248,500	248,500	-	0.0%	-	248,500
2				GR	-	-	-		-	-
				GR-D	-	-	-		-	-
				FF	-	-	-		-	-
				IAC	-	-	-		-	-
				Other	-	-	-		-	-
				Subtotal	-	-	-		-	-
3				GR	-	-	-		-	-
				GR-D	-	-	-		-	-
				FF	-	-	-		-	-
				IAC	-	-	-		-	-
				Other	-	-	-		-	-
				Subtotal	-	-	-		-	-
4				GR	-	-	-		-	-
				GR-D	-	-	-		-	-
				FF	-	-	-		-	-
				IAC	-	-	-		-	-
				Other	-	-	-		-	-
				Subtotal	-	-	-		-	-
5				GR	-	-	-		-	-
				GR-D	-	-	-		-	-
				FF	-	-	-		-	-
				IAC	-	-	-		-	-
				Other	-	-	-		-	-
				Subtotal	-	-	-		-	-
6				GR	-	-	-		-	-
				GR-D	-	-	-		-	-
				FF	-	-	-		-	-
				IAC	-	-	-		-	-
				Other	-	-	-		-	-
				Subtotal	-	-	-		-	-
Total					248,500	248,500	-	0.0%	-	248,500

7.A. Indirect Administrative and Support Costs

8/15/2016 12:10:37PM

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

504 Texas State Board of Dental Examiners

Strategy	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
1-1-1 Provide a System to Investigate and Resolve Complaints					
OBJECTS OF EXPENSE:					
1001 SALARIES AND WAGES	\$69,426	\$ 58,025	\$ 58,025	\$ 58,025	\$ 58,025
1002 OTHER PERSONNEL COSTS	5,005	788	3,668	2,228	2,228
2001 PROFESSIONAL FEES AND SERVICES	62	8,347	9,787	9,792	9,792
2009 OTHER OPERATING EXPENSE	1,085	995	4,167	5,603	5,603
Total, Objects of Expense	\$75,578	\$68,155	\$75,647	\$75,648	\$75,648
METHOD OF FINANCING:					
1 General Revenue Fund	75,578	68,155	75,647	75,648	75,648
Total, Method of Financing	\$75,578	\$68,155	\$75,647	\$75,648	\$75,648
FULL TIME EQUIVALENT POSITIONS	1.9	1.9	1.9	1.9	1.9
Method of Allocation					

The indirect administration costs are allocated to Complaint Resolution and Peer Assistance based on budget size.

7.A. Indirect Administrative and Support Costs

8/15/2016 12:10:37PM

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

504 Texas State Board of Dental Examiners

Strategy	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
1-1-2 Provide a Peer Assistance Program for Licensed Individuals					
OBJECTS OF EXPENSE:					
1001 SALARIES AND WAGES	\$2,893	\$ 2,418	\$ 2,418	\$ 2,418	\$ 2,418
1002 OTHER PERSONNEL COSTS	209	33	153	93	93
2001 PROFESSIONAL FEES AND SERVICES	3	348	408	408	408
2009 OTHER OPERATING EXPENSE	45	41	174	233	233
Total, Objects of Expense	\$3,150	\$2,840	\$3,153	\$3,152	\$3,152
METHOD OF FINANCING:					
1 General Revenue Fund	3,150	2,840	3,153	3,152	3,152
Total, Method of Financing	\$3,150	\$2,840	\$3,153	\$3,152	\$3,152
FULL TIME EQUIVALENT POSITIONS	0.1	0.1	0.1	0.1	0.1
Method of Allocation					

The indirect administration costs are allocated to Complaint Resolution and Peer Assistance based on budget size.

7.A. Indirect Administrative and Support Costs

8/15/2016 12:10:37PM

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

504 Texas State Board of Dental Examiners

Strategy	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
1-2-1 Conduct an Efficient Licensure/Resistration/Certification Process					
OBJECTS OF EXPENSE:					
1001 SALARIES AND WAGES	\$72,496	\$ 85,672	\$ 85,672	\$ 85,672	\$ 85,672
1002 OTHER PERSONNEL COSTS	4,944	5,691	5,691	5,691	5,691
2001 PROFESSIONAL FEES AND SERVICES	42	28	0	0	0
2009 OTHER OPERATING EXPENSE	672	670	670	670	670
Total, Objects of Expense	\$78,154	\$92,061	\$92,033	\$92,033	\$92,033
METHOD OF FINANCING:					
1 General Revenue Fund	78,154	92,061	92,033	92,033	92,033
Total, Method of Financing	\$78,154	\$92,061	\$92,033	\$92,033	\$92,033
FULL TIME EQUIVALENT POSITIONS	2.5	2.5	2.5	2.5	2.5
Method of Allocation					

The indirect costs are from the indirect administration strategy for licensing.

7.A. Indirect Administrative and Support Costs

8/15/2016 12:10:37PM

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

504 Texas State Board of Dental Examiners

	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
Method of Allocation					

There are no indirect administration costs for this strategy as it is a pass-through strategy where all funds collected are paid to the vendor administering the program.

7.A. Indirect Administrative and Support Costs

8/15/2016 12:10:37PM

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

504 Texas State Board of Dental Examiners

	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
GRAND TOTALS					
Objects of Expense					
1001 SALARIES AND WAGES	\$144,815	\$146,115	\$146,115	\$146,115	\$146,115
1002 OTHER PERSONNEL COSTS	\$10,158	\$6,512	\$9,512	\$8,012	\$8,012
2001 PROFESSIONAL FEES AND SERVICES	\$107	\$8,723	\$10,195	\$10,200	\$10,200
2009 OTHER OPERATING EXPENSE	\$1,802	\$1,706	\$5,011	\$6,506	\$6,506
Total, Objects of Expense	\$156,882	\$163,056	\$170,833	\$170,833	\$170,833
Method of Financing					
1 General Revenue Fund	\$156,882	\$163,056	\$170,833	\$170,833	\$170,833
Total, Method of Financing	\$156,882	\$163,056	\$170,833	\$170,833	\$170,833
Full-Time-Equivalent Positions (FTE)	4.5	4.5	4.5	4.5	4.5

7.B. Direct Administrative and Support Costs
85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/15/2016
TIME : 12:10:37PM

Agency code: 504

Agency name: Texas State Board of Dental Examiners

Strategy	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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1-1-1	Provide a System to Investigate and Resolve Complaints				
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DESCRIPTION

The agency does not report any direct administration costs for this strategy because all administrative costs are included in the Indirect Administration - Complaint Resolution strategy.

7.B. Direct Administrative and Support Costs
85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/15/2016
TIME : 12:10:37PM

Agency code: 504

Agency name: Texas State Board of Dental Examiners

Strategy	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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1-1-2					
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Provide a Peer Assistance Program for Licensed Individuals

DESCRIPTION

The agency does not report any direct administration costs for this strategy because all administrative costs are included in the Indirect Administration - Complaint Resolution strategy.

7.B. Direct Administrative and Support Costs
85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/15/2016
TIME : 12:10:37PM

Agency code: 504

Agency name: Texas State Board of Dental Examiners

Strategy	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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1-2-1 **Conduct an Efficient Licensure/Resistration/Certification Process**

DESCRIPTION

The agency does not report any direct administration costs for this strategy because all administrative costs are included in the Indirect Administration - Complaint Resolution strategy.

7.B. Direct Administrative and Support Costs
85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/15/2016
TIME : 12:10:37PM

Agency code: 504

Agency name: Texas State Board of Dental Examiners

Exp 2015

Est 2016

Bud 2017

BL 2018

BL 2019

DESCRIPTION

The agency does not report any direct administration costs for this strategy because this is a pass-through strategy where all funds collected go to the vendor administering the program.

7.B. Direct Administrative and Support Costs
85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/15/2016
TIME : 12:10:37PM

Agency code: 504

Agency name: Texas State Board of Dental Examiners

Exp 2015

Est 2016

Bud 2017

BL 2018

BL 2019

GRAND TOTALS

Full-Time-Equivalent Positions (FTE)